



3761

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Broome et al.

Examiner: Unknown

Serial No.: 10/044,368

Group Art Unit: 3761

Filed: January 10, 2002

Docket No.: 1001.1388101

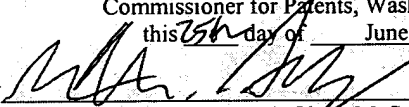
For: DISTAL PROTECTION FILTER

**TRANSMITTAL SHEET**Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

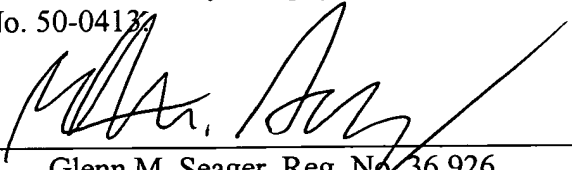
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**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on this 28th day of June, 2002.

By:   
Glenn M. Seager

We are transmitting herewith the attached:

- ☒ Information Disclosure Statement.
- ☒ Form 1449 with Two Hundred and Fifty-Six (256) references.
- ☒ Postcard receipt.
- ☒ Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By:   
Glenn M. Seager, Reg. No. 36,926

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331 Second Avenue South, Suite 895  
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PATENT

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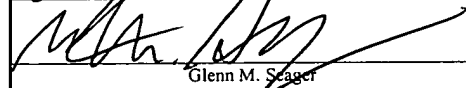
Docket No.: 1001.1388101

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for Patents  
Washington, D.C. 20231

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25th DAY OF June, 2002.

  
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Dear Sirs:

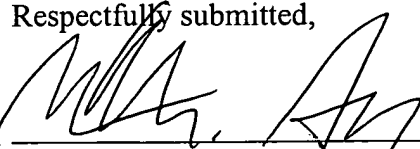
INFORMATION DISCLOSURE STATEMENT

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56,  
the documents listed on the attached PTO-1449 are hereby disclosed.

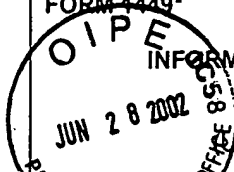
No representation is intended to be made hereby that any of the cited references  
establishes, by itself or in combination with other information, a prima facie case of  
unpatentability of any claim of the present case.

Respectfully submitted,

Dated: June 25, 2002

  
Glenn M. Seager, Reg. No. 36,926  
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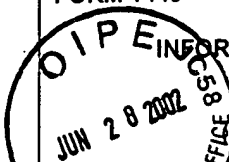
<b>FORM 1449*</b>  <b>INFORMATION DISCLOSURE STATEMENT</b> <b>IN AN APPLICATION</b> (use several sheets if necessary)	Docket Number: 1001.1388101	Application Number: 10/044,368
	Applicant: Broome et al.	
	Filing Date: January 10, 2002	Group Art Unit: 3761

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Examiner Initial	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
	6,344,049	02/05/02	Levinson et al.		
	6,319,268	11/20/01	Ambrisco et al.		
	6,309,399	10/30/01	Barbut et al.		
	6,290,710	09/18/01	Cryer et al.		
	6,287,321	09/11/01	Jang		
	6,280,413	08/28/01	Clark et al.		
	6,277,139	08/21/01	Levinson et al.		
	6,277,138	08/21/01	Levinson et al.		
	6,270,513	08/07/00	Tsugita et al.		
	6,264,672	07/24/01	Fisher		
	6,264,663	07/24/01	Cano		
	6,258,115	07/10/01	Dubrul		
	6,245,089	06/12/01	Daniel et al.		
	6,245,088	06/12/01	Lowery		
	6,245,087	06/12/01	Addis		
	6,238,412	05/29/01	Dubrul et al.		
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	6,235,044	05/22/01	Root et al.		
	6,231,544	05/15/01	Tsugita et al.		
	6,224,620	05/01/01	Maahs		
	6,221,006	04/24/01	Dubrul et al.		
	6,214,026	04/10/01	Lepak et al.		
	6,206,868	03/24/01	Parodi		
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	6,179,851	01/30/01	Barbut et al.		

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<b>FORM 1449*</b>  <b>INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION</b> (Use several sheets if necessary)	Docket Number: 1001.1388101	Application Number: 10/044,368
	Applicant: Broome et al.	
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Examiner Initial	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
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	6,168,579	01/02/01	Tsugita		
	6,165,200	12/26/00	Tsugita et al.		
	6,152,946	11/2800	Broome et al.		
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	6,136,016	10/24/00	Barbut et al.		
	6,129,739	10/10/00	Khosravi		
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	6,066,149	05/23/00	Samson et al.		
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Applicant: Broome et al.

Filing Date: January 10, 2002

Group Art Unit: 3761

(Use several sheets if necessary)

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Examiner Initial	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
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	5,947,995	09/07/99	Samuels		
	5,941,896	08/24/99	Kerr		
	5,941,869	08/24/99	Patterson et al.		
	5,935,139	08/10/99	Bates		
	5,925,062	07/20/99	Purdy		
	5,925,060	07/20/99	Forber		
	5,925,016	07/20/99	Chornenky et al.		
	5,911,734	06/15/99	Tsugita et al.		
	5,910,154	06/08/99	Tsugita et al.		
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Filing Date: January 10, 2002

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(Use several sheets if necessary)

## U.S. Patent Documents

Examiner Initial	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
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	5,695,519	12/09/97	Summers et al.		
	5,662,671	09/02/97	Barbut et al.		
	5,658,296	08/19/97	Bates et al.		
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	4,998,539	03/12/91	Delsanti		

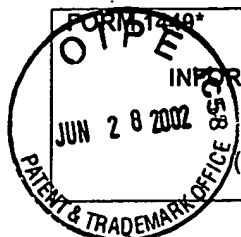
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U.S. Patent Documents

Examiner Initial	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
	4,969,891	11/13/90	Gewertz		
	4,926,858	05/22/90	Giffort, III et al.		
	4,921,484	05/01/90	Hillstead		
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	4,873,978	10/17/89	Ginsburg		
	4,807,626	02/28/89	McGirr		
	4,794,928	01/03/89	Kletschka		
	4,790,813	12/13/88	Kensey		
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	4,723,549	02/09/88	Wholey et al.		
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	4,619,246	10/28/86	Molgaard-Nielsen et al.		
	4,590,938	05/27/86	Segura et al.		
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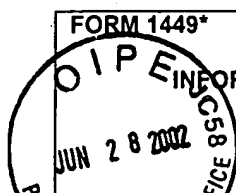
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	WO 01/91824	12/06/01	WIPO		
	WO 01/89413	11/29/01	WIPO		
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	Applicant: Broome et al.	
	Filing Date: January 10, 2002	Group Art Unit: 3761

	EP 1 127 556 A2	08/29/01	EPO		
	WO 01/60442	08/23/01	WIPO		
	WO 01/58382	08/16/01	WIPO		
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	DE 199 16 162	10/26/00	Germany		English Abstract
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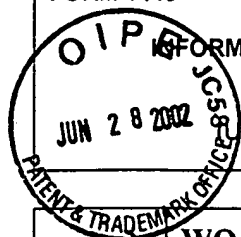
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Group Art Unit: 3761



WO 00/09054	02/24/00	WIPO		
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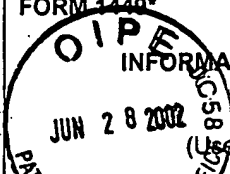
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	WO 98/23322	06/04/98	WIPO		
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	EP 0 437 121 B1	07/17/91	EP		
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FORM 1449\*

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10/044,368

Applicant: Broome et al.

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	EP 0 411 118 A1	02/06/91	EP		
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	DE 34 17 738	11/14/85	DE		Translation
	SU 764684	09/25/80	U.S.S.R.		Translation
	UK 2 020 557 B	01/19/83	UK		
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	"Atherosclerotic Disease of the Aortic Arch as a Risk Factor of Recurrent Ischemic Stroke," <u>The New England Journal of Medicine</u> , pp. 1216-1221 (May 1996)
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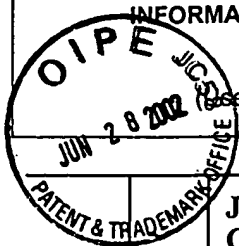
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FORM 1449*  <b>INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION</b>  (Use several sheets if necessary)	Docket Number: 1001.1388101  Application Number: 10/044,368  Applicant: Broome et al.  Filing Date: January 10, 2002      Group Art Unit: 3761
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